

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
128496-1

In Re Application Of: Sivakumar, et al.

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/619,642	July 15, 2003	NYA	43248	2855	1487

Title: LIMITED PLAY OPTICAL STORAGE MEDIUM, METHOD FOR MAKING THE SAME



Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

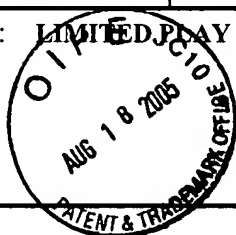
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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached. 06-1130
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

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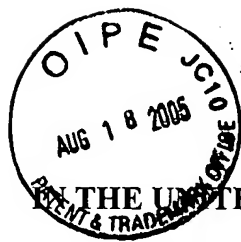
Signature

Dated: August 16, 2005

Roberta L. Pelletier  
Registration No. 46,372  
Customer No. 43248  
(860) 286-2929

CC:

128496-1



THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: SIVAKUMAR, ET AL. )  
SERIAL NO.: 10/619,642 ) Group Art Unit: 2855  
FILED: JULY 15, 2003 ) Before the Examiner:  
FOR: LIMITED PLAY OPTICAL STORAGE ) E. Mulvaney  
MEDIUM, METHOD FOR MAKING THE )  
SAME )

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR §§ 1.56, 1.97 AND 1.98**


Sir:

In compliance with the duty to disclose, submitted herewith is form PTO-A820 (PTO-1449) listing publication(s) of which those designated by 37 CFR § 1.56 are aware. Copies of the non-United States patents or published applications are enclosed.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, or an admission that the information cited is, or is considered to be, material to patentability.

Respectfully submitted,

CANTOR COLBURN LLP

By:   
Roberta L. Pelletier  
Registration No. 46,372  
Customer No. 43248

Date: August 16, 2005  
Telephone: (860) 286-2929

<b>PTO-1449</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <b><u>LIST OF ITEMS</u></b>  (Use several sheets if necessary)				<b>Attorney's Docket Number</b> 128496-1		<b>Serial Number</b> 10/619,642	
				<b>Name of Applicant</b> Sivakumar et al			
				<b>Filing Date</b> 07/15/2003		<b>Group</b> 2855	

U.S. PATENT DOCUMENTS						
Examiner Initial	Document Number	Date	NAME	Class	Subclass	Filing Date If Appropriate

U.S. PATENT APPLICATION PUBLICATIONS						
Examiner Initial	Document Number	Date	NAME	Class	Subclass	Filing Date If Appropriate
	US 2002/0102499 A1	08-01-2002	Krieg-Kowald			
	US 2003/0207206 A1	11-06-2003	Olson et al			
	US 2004/0014859 A1	01-22-2004	Ezbiansky et al			
	US 2004/0022986 A1	02-05-2004	Van de Grampel et al			
	US 2004/0043254 A1	03-04-2004	Wisnudel et al			
	US 2004/0152014 A1	08-05-2004	Breitung et al			
	US 2005/0025988 A1	02-03-2005	Wisnudel et al			
	US 2005/0051053 A1	03-10-2005	Wisnudel et al			

FOREIGN PATENT DOCUMENTS						
Examiner Initial	Document Number	Date	COUNTRY	Class	Subclass	TRANSLATION YES NO
	JP 2001093190	04/06/2001	Japan			X
	JP 2000195100	07/14/2000	Japan			X
	JP 57150152	09/16/1982	Japan			X
	JP 59151346	08/29/1984	Japan			X
	JP 05273691	10/22/1993	Japan			X
	JP 04238086	08/26/1992	Japan			X
	JP 02018728	01/23/1990	Japan			X
	JP 61260435	11/18/1986	Japan			X
	JP 02037539	02/07/1990	Japan			X

OTHER INFORMATION (including author, title, date, pertinent)	

<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
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\* **EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.

<b>PTO-1449</b>			<b>Attorney's Docket Number</b> 128496-1		<b>Serial Number</b> 10/619,642	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <b><u>LIST OF ITEMS</u></b>  (Use several sheets if necessary)			<b>Name of Applicant</b> Sivakumar et al			
			<b>Filing Date</b> 07/15/2003		<b>Group</b> 2855	
<b>U.S. PATENT DOCUMENTS</b>						
<b>Examiner Initial</b>	<b>Document Number</b>	<b>Date</b>	<b>NAME</b>	<b>Class</b>	<b>Subclass</b>	<b>Filing Date If Appropriate</b>
<b>U.S. PATENT APPLICATION PUBLICATIONS</b>						
<b>Examiner Initial</b>	<b>Document Number</b>	<b>Date</b>	<b>NAME</b>	<b>Class</b>	<b>Subclass</b>	<b>Filing Date If Appropriate</b>
<b>FOREIGN PATENT DOCUMENTS</b>						
<b>Examiner Initial</b>	<b>Document Number</b>	<b>Date</b>	<b>COUNTRY</b>	<b>Class</b>	<b>Subclass</b>	<b>TRANSLATION YES NO</b>
	JP 59124891	07/19/1984	Japan			X
	JP 58224448	12/26/1983	Japan			X
	JP 57212634	12/27/1982	Japan			X
	JP 63218398	09/12/1988	Japan			X
	JP 61063489	04/01/1986	Japan			X
	JP 60127542	07/08/1985	Japan			X
	JP 60256944	12/18/1985	Japan			X
	JP 60261046	12/24/2005	Japan			X
<b>OTHER INFORMATION</b> (including author, title, date, pertinent)						
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			<b>Name of Applicant</b> SIVAKUMAR, ET AL.			
			<b>Filing Date</b> JULY 15, 2003		<b>Group</b> 2855	
<b>U.S. PATENT DOCUMENTS</b>						
<b>Examiner Initial</b>	<b>Document Number</b>	<b>Date</b>	<b>NAME</b>	<b>Class</b>	<b>Subclass</b>	<b>Filing Date If Appropriate</b>
	2,485,197	10/18/1949	Grossmann et al.			
	2,848,462	08/19/1958	Gutzwiller et al.			
	3,312,655	04/04/1967	Dien			
	3,945,979	03/23/1976	Kobayashi et al.			
	3,960,751	06/01/1976	Moriyama et al.			
	4,457,855	07/03/1984	Sudbury et al.			
	4,689,171	08/25/1987	Blunck et al.			
	4,807,220	02/21/1989	Miyai et al.			
	4,983,505	01/08/1991	Higuchi et al.			
	4,997,903	03/05/1991	Okamoto			
	4,999,418	03/12/1991	Krutak et al.			
	5,820,691	10/13/1998	Hartman			
	6,013,145	01/11/2000	Amo et al.			
	6,165,299	12/26/2000	Guan et al.			
	US 6,228,933 B1	05/08/2001	Hiles			
	US 6,246,656 B1	06/12/2001	Kawakubo et al.			
	US 6,434,109 B2	08/13/2002	Rollhaus et al.			
	US 6,531,262 B1	03/11/2003	Lawandy et al.			
	US 6,589,626 B2	07/08/2003	Selinfreund et al.			
	US 6,590,856 B2	07/08/2003	Tsukagoshi et al.			
	US 6,733,950 B2	05/11/2004	Breitung et al.			
	US 6,756,103 B2	06/29/2004	Thompson et al.			
	US 6,866,909 B2	03/15/2005	Wisnudel et al.			
<b>EXAMINER</b>				<b>DATE CONSIDERED</b>		
<small>* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.</small>						

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<b>FOREIGN PATENT DOCUMENTS</b>						
	<b>Document Number</b>	<b>Date</b>	<b>COUNTRY</b>	<b>Class</b>	<b>Subclass</b>	<b>TRANSLATION</b> YES NO
	WO 01/29828	26.04.2001	PCT			X
	WO 02/099470	12.12.2002	PCT			X
	EP 0 737 965	16.10.1996	European			X
	GB 958,924	27.05.1964	Great Britain			X
	WO 98/31011	16.07.98	PCT			X
<b>OTHER INFORMATION (including author, title, date, pertinent)</b>						
/	JP 2001093190 A; Publication Date: 04-06-2001 (translation of abstract only)					
/	JP 2000195100 A; Publication Date: 07-14-2000 (translation of abstract only)					
/	JP 57150152 A; Publication Date: 09-16-1982 (translation of abstract only)					
/	JP 59151346; Publication Date: 08-29-1984 (translation of abstract only)					
/	JP 05273691; Publication Date: 10-22-1993 (translation of abstract only)					
/	JP 04238086 A; Publication Date: 08-26-1992 (translation of abstract only)					
/	JP 02018728 A; Publication Date: 01-23-1990 (translation of abstract only)					
/	JP 61260435 A; Publication Date: 11-18-1986 (translation of abstract only)					
/	JP 02037539; Publication Date: 02-07-1990 (translation of abstract only)					
/	JP 59124891 A; Publication Date: 07-1-1984 (translation of abstract only)					
/	JP 58224448 A; Publication Date: 12-26-1983 (translation of abstract only)					
/	JP 57212634; Publication Date: 12-27-1982 (translation of abstract only)					
/	JP 63218398 A; Publication Date: 09-12-1988 (translation of abstract only)					
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